

**Preliminary communication**

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**Elucidation of the host–guest geometrical relationship in a branched cyclomaltohexaose inclusion-complex by measurement of the intermolecular nuclear Overhauser effects in the rotating frame**

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6-*O*-( $\alpha$ -D-Glucopyranosyl) cyclomaltohexaose ( $G_1$ - $\alpha$ -CD; Fig. 1) is a branched cyclomaltohexaose ( $\alpha$ -cyclodextrin,  $\alpha$ -CD) that consists of six linked (1 $\rightarrow$ 4)- $\alpha$ -D-glucopyranose residues forming a macrocycle and one branching D-glucopyranose residue attached to one of the residues of the macrocycle through a (1 $\rightarrow$ 6)- $\alpha$ -D-glucopyranosidic linkage. The compound is of interest as it has shown greater water solubility than unsubstituted  $\alpha$ -CDs<sup>1,2</sup>, and, because  $\alpha$ -CDs can accommodate various substances in their cavities by forming inclusion-complexes<sup>3</sup>, it was expected that  $G_1$ - $\alpha$ -CD will also form inclusion-complexes in a manner similar to  $\alpha$ -CD<sup>4</sup>. In order to characterize these CD inclusion-complexes, it was necessary to determine the molecular structure of the conjugates by <sup>1</sup>H-n.m.r. spectroscopy, which has been successfully used to obtain such structural information in solution. Measurement<sup>5</sup> of the host–guest intermolecular <sup>1</sup>H homonuclear Overhauser effect allows the qualitative determination of the orientation of the guest molecule inside of the CD cavity<sup>6,7</sup>. For example, the orientation of the *p*-nitrophenol (*p*NP) molecule inside the  $\alpha$ -CD cavity has been successfully determined on the basis of a two-dimensional n.O.e. (NOESY) spectrum<sup>7</sup>. While an experiment was attempted on the  $G_1$ - $\alpha$ -CD-*p*NP complex with experimental parameters similar or identical to those used for the measurement of n.O.e.s of an  $\alpha$ -CD system, no cross-peak due to an intermolecular n.O.e. was observed. Thus it was determined that new experimental parameters must be sought for the NOESY experiment on the  $G_1$ - $\alpha$ -CD system.

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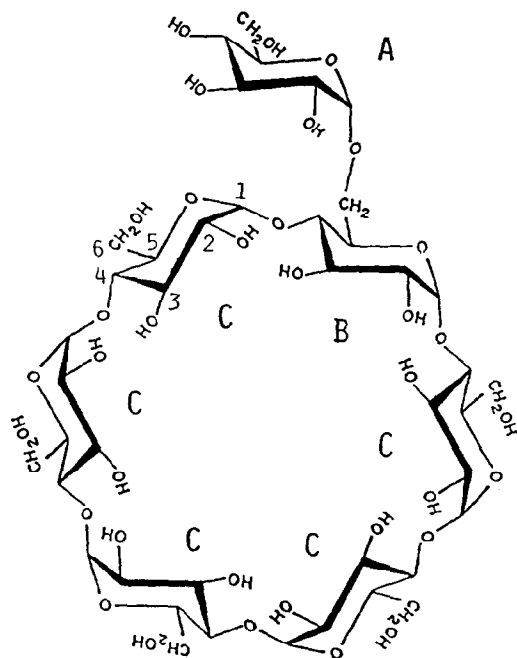


Fig. 1. The structure of  $G_1$ - $\alpha$ -CD. The D-glucopyranosyl residues are divided into three groups, A-C, based on the  $^1\text{H}$ -n.m.r. spectrum.

Measurements of n.O.e.s under spin-locked conditions, an operation termed ROESY<sup>8-11</sup>, are particularly useful for molecules with a motional correlation time,  $\tau_c$ , near the condition  $\omega\tau_c = 1$ , where  $\omega$  is the angular Larmor frequency. Although the conventional n.O.e. is very small in such cases, an n.O.e. measured in a rotating frame is always positive and quite large. Under conditions used for ROESY experiments, magnetization transfer between scalar coupled spins can also occur<sup>12</sup>, leading to  $J$  cross-peaks; however, n.O.e. and  $J$  cross-peaks in 2D ROESY spectra can be differentiated by their relative sign with respect to the diagonal peaks<sup>10</sup>. Thus n.O.e. cross-peaks have an opposite phase independent of  $\tau_c$ , while cross-peaks due to  $J$  scalar coupling and chemical exchange are in-phase<sup>9,11</sup>, making it possible to distinguish n.O.e. cross-peaks in a 2D spectrum even when complicated  $J$  cross-peaks are observed, as in the case of COSY experiments on oligosaccharides<sup>13</sup>. We now report the results of a rotating-frame n.O.e. study of a  $G_1$ - $\alpha$ -CD inclusion complex with a  $p$ NP molecule.

Figure 2 shows the ROESY and the 1D proton spectrum of  $G_1$ - $\alpha$ -CD- and  $\alpha$ -CD- $p$ NP mixtures in  $\text{D}_2\text{O}$  at pD 7 and 40°. Conditions for effective suppression of coherence transfer from homonuclear Hartmann-Hahn cross polarization was chosen<sup>10</sup>, and assignments of their proton resonances are described elsewhere<sup>7,15</sup>.

In Fig. 2b the contour plot displays only resonances that have opposite phase to the diagonal peaks, *i.e.*, resonances due to transverse n.O.e. effects. A set of

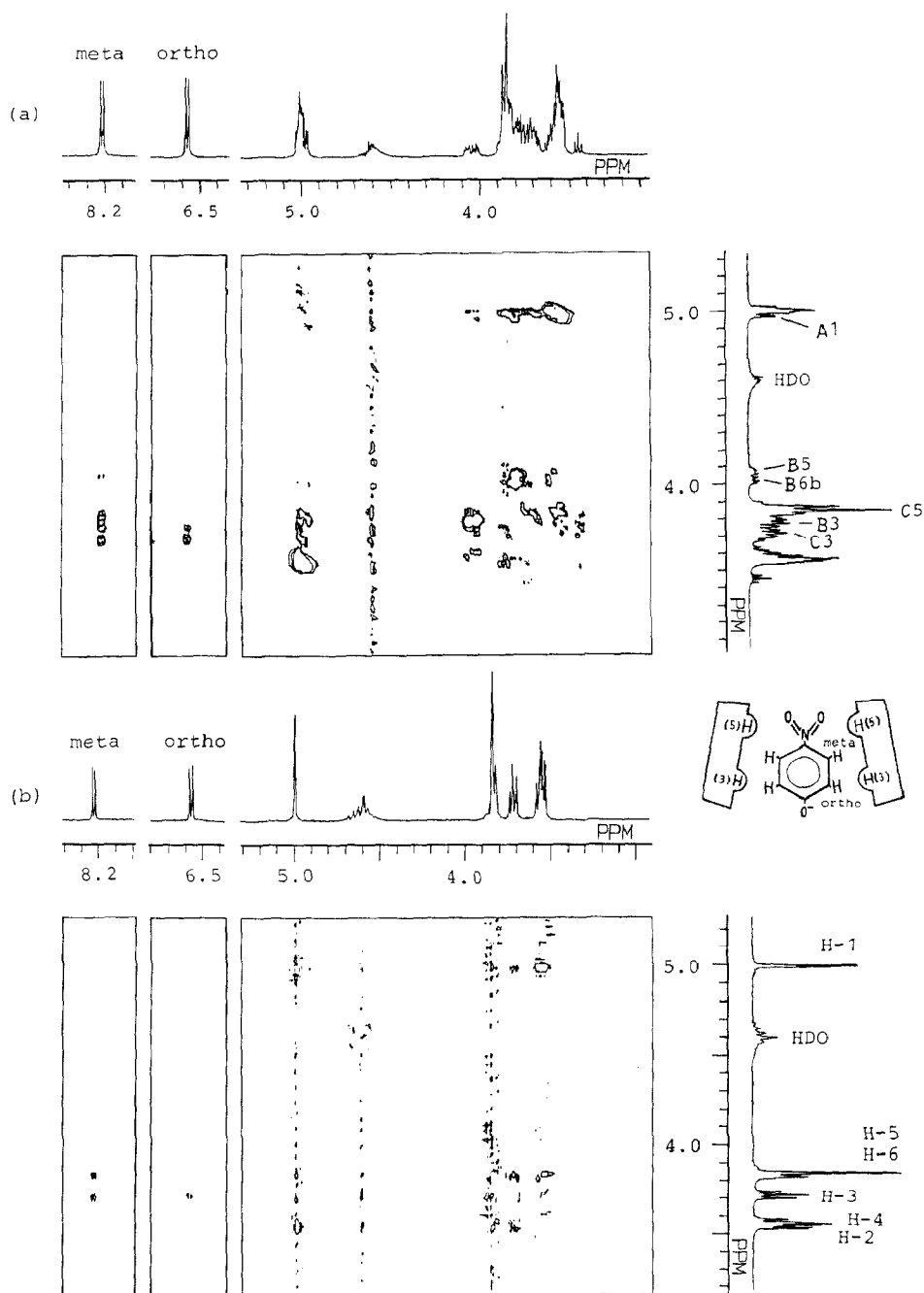


Fig. 2. 500-MHz ROESY spectra with negative contour levels of (a),  $G_1$ - $\alpha$ -CD- $p$ NP at pD 7 and (b),  $\alpha$ -CD- $p$ NP at pD 10 in  $D_2O$ , at  $40^\circ$  with a spin-lock time of 250 ms. The contour plots show the resonances that have a sign opposite to the diagonal peaks. 1D spectra and the signal assignments are also shown, and proton resonances of  $G_1$ - $\alpha$ -CD are expressed as A1, B1, etc., corresponding respectively to the H-1 resonance of the A unit and B unit, etc. In the inset, the spatial relationships for H-3 and H-5 of  $\alpha$ -CD and the meta and ortho protons of  $p$ NP are illustrated.

cross-peaks that connect the H-3 resonance of  $\alpha$ -CD both *meta*- and *ortho*-proton resonances of *p*NP and connect H-5 to only the *meta* resonance, is observed and essentially identical with the NOESY spectrum previously reported<sup>7</sup>. These cross-peaks due to n.O.e. are subject to no contribution from *J* cross-peaks, since they arise between two protons belonging to two different molecules. Therefore, they are pure n.O.e. peaks and lead to the same conclusion about the host-guest geometry.

On the other hand, in the  $G_1$ - $\alpha$ -CD-*p*NP complex, the CD macrocyclic ring is made up of at least two types of D-glucopyranose residues: B and C units (see Fig. 1), which exhibit different resonances from each other and consequently make the 1D spectrum complicated. Cross-peaks due to host-guest intermolecular n.O.e.s are observed in Fig. 2a. These include the cross-peaks connecting the H-3 resonances of both the B and C units to both *meta* and *ortho* resonances of *p*NP and those of the H-5 resonances of both units that connect to only the *meta* resonance of *p*NP. Therefore, the host-guest geometry in the  $G_1$ - $\alpha$ -CD-*p*NP complex appears to be similar to that observed in the  $\alpha$ -CD-*p*NP complex<sup>7</sup>. Consequently, *p*NP is preferentially inserted into the cavity of  $G_1$ - $\alpha$ -CD with the nitro-end first and the *meta*-protons located close to both the H-3 and H-5 of the B and C units so that they exhibit n.O.e. peaks with both protons in each unit.

Similar ROESY experiments should be applicable to other CD inclusion-complexes in solution and should provide experimental data necessary for determining the orientation or insertion depth of a guest molecule into the CD cavity.

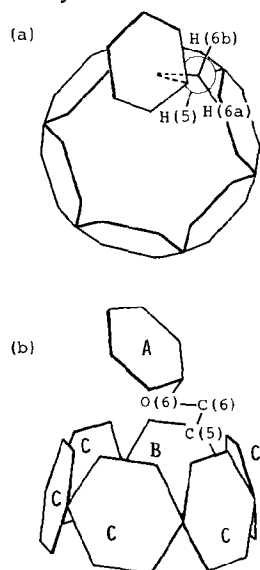


Fig. 3. The molecular structure of  $G_1$ - $\alpha$ -CD expected from n.O.e. data: (a), top view; (b), oblique side view. A hexagon represents one D-glucopyranosyl residue. The C-5-C-6 linkage of the B unit is drawn as the *gt* rotamer.

Information concerning the conformation of the  $G_1$ - $\alpha$ -CD molecule can be also obtained from the ROESY spectrum. By scrutinizing Fig. 2a, one can determine that some intramolecular n.O.e. cross-peaks are also identified in the region of the CD resonances. The intramolecular n.O.e. cross-peaks (A1,B6a), (B5,B6a), (B6a,B6b), (B4,B6b), and the somewhat weak cross-peak (A1,B6b), taken collectively, suggest a preferential conformation about the C-5-C-6 linkage of the B unit for which the gt rotamer (Fig. 3), where the branch residue A is located above the B unit, is a satisfactory fit. This conformation is consistent with the X-ray structure<sup>14</sup> established on a crystal of  $G_1$ - $\alpha$ -CD $\cdot$ 8H<sub>2</sub>O, which has the gt conformation of the C-5-C-6 linkage of the B unit with the plane comprised of H-1, H-3 and H-5 of the A unit situated nearly parallel with that comprised of the C-1 carbons of the C units. In other words, the branch is not situated over the entrance of the cavity.

The ROESY experiment is a powerful tool for investigating, not only the conformation of molecules in solution undergoing a motion with various time scales, but also for specific interaction between two different molecules.

#### EXPERIMENTAL

For the n.m.r. sample, solutions, 0.04M in  $G_1$ - $\alpha$ -CD and 0.04M in  $\alpha$ -CD, both containing 0.08M *p*NP were prepared in D<sub>2</sub>O at pD 7 and pD 10, respectively. According to the reported dissociation constants of the  $G_1$ - $\alpha$ -CD- and  $\alpha$ -CD-*p*NP complexes<sup>15</sup>, >90% of the  $G_1$ - $\alpha$ -CD and 98% of the  $\alpha$ -CD are considered to be in the complexed state under the experimental conditions used. 2D ROESY spectra were recorded on a JEOL GX-500 spectrometer at 500 MHz and a sweep width of 3500 Hz. The carrier frequency was positioned at 5.58 ppm, and a 4-kHz spin-lock field was used during the mixing period of 250 ms in order to minimize magnetization transfer through scalar couplings<sup>10</sup>. Eight scans were performed for every  $t_1$  value, and two 256  $\times$  2k data sets were acquired. Chemical shifts are given in parts per million (p.p.m.) downfield from that of Me<sub>4</sub>Si.

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